

UTILITY PATENT APPLICATION TRANSMISSION

Our Docket No.:
A-71604/RFT/THR

Date:
July 10, 2003

Inventor: Dennis M. Brown

Title: Formulations and Methods of Administration of
Cephalotaxines, Including Homoharringtonine

ADDRESS TO: Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

16447 U.S. PTO
10/617927
07/10/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated and is addressed to the Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 10, 2003.

Express Mail No.: EV 298966229 US

Date of Deposit: July 10, 2003

Signed: 
Darryl Kriner

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 17
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☒ Field of the Invention
 - ☒ Background of the Invention
 - ☒ Summary of the Invention
 - ☒ Detailed Description of the Figures
 - ☒ Detailed Description of the Invention
 - ☒ Claims (28 total)
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 1
5. ☐ Oath or Declaration Total Pages: 2
 - a. ☐ Newly Executed (facsimile)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies


ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
18. ☐ Other

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) claiming benefit of prior Application Nos.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

ATTY NAME Richard F. Trecartin	Reg. No. 31,801	SIGNATURE: 	DATE: July 10, 2003
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center Suite 3400 San Francisco, California 94111-4187		
TELEPHONE	(415) 781-1989		
FAX	(415) 398-3249		
	Customer Number: 32940		
	1114717		

07/10/03
1685 U.S. PTO


APPLICATION FEE TRANSMITTAL SHEET (FY 2003)

Complete if Known

Application No.	
Filing Date	July 10, 2003
First Named Inventor	Dennis M. Brown
Group Art Unit	
Examiner Name	
Atty. Docket Number	A-71604/RFT/THR

METHOD OF PAYMENT (Check One)				FEE CALCULATION (Continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319 DEFICIENCIES ONLY</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)				3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> Check Enclosed				Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
FEE CALCULATION				50	25	Surcharge - late provisional filing fee or cover sheet	
1. BASIC FILING FEE				130	65	Surcharge - Late nonprovisional filing fee or oath	
Large Entity Fee	Small Entity Fee	Fee Description		180	180	Submission of IDS	
160	80	<input type="checkbox"/> Provisional Filing Fee		40	40	Recording each patent assignment per property (times number of properties)	
750	375	<input checked="" type="checkbox"/> Utility Filing Fee		110	55	Extension for reply within first month	
330	165	<input type="checkbox"/> Design Filing Fee		410	205	Extension for reply within second month	
750	375	<input type="checkbox"/> Reissue Filing Fee		930	465	Extension for reply within third month	
Subtotal (1) \$375.00				1,450	725	Extension for reply within fourth month	
2. EXTRA* CLAIM FEES				1,970	985	Extension for reply within fifth month	
Number Claims	Prior	Extra	Fee from Below*	750	375	Submission After Final 1.129	
Total 28	- 20	= 8	x \$9.00	320	160	Notice of Appeal	
Indep. 3	- 3	= 0	x \$42	320	160	Filing a brief in support of an appeal	
Multiple Dependent Claims		= 0	x \$140	280	140	Request for oral hearing	
Subtotal (2) \$72.00				110	55	Terminal Disclaimer Fee	
*Calculation of Extra Claim Fees				130	130	Petitions to the Commissioner	
Large Entity Fee	Small Entity Fee	Fee Description		50	50	Petitions related to provisional applications	
18	9	Claims in excess of 20		1,300	650	Utility/Reissue Issue Fee (including advance copies)	
84	42	Independent claims in excess of 3		470	235	Design Issue Fee (inc. advance copies)	
280	140	Multiple dependent Claim		750	375	Request for Continued Examination (RCE)	
84	42	Reissue independent claims over original patent		300	300	Publication fee for early, voluntary, or normal publication	
18	9	Reissue claims in excess of 20 and over original patent		300	300	Publication fee for re-publication	
				110	55	Petition to Revive - unavoidable	
				1,300	650	Petition to Revive - unintentional	
				OTHER FEE (specify):			
				Subtotal (3)		-0-	
				Total Amount of Payment:		\$447.00	

Submitted by:

Name: RICHARD F. TRECARTIN	Reg. No.: 31,801	Telephone: (415) 781-1989
DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187		Customer Number 32940
Signature:		Date: JULY 10, 2003